# **2020 Local government elections** Service plan July 2020



The following updates have been made since publication:

Release	Corrections
January 2020	Change to election timelines to reflect public holiday dates.
July 2020	Changes to reflect provisions due to the introduction of the Local Government Act 2020.
August 2020	Update reflects 2 August 2020 COVID-19 environment and necessary adjustments

**Cover image:** Democracy Ambassador Etimad leading an electoral education session in 2018. Etimad is a member of the VEC's Education & Inclusion Team, which is responsible for educating and engaging community members so that their voices can be fully heard in our democracy.



Victoria's 2020 local government elections will be our State's largest single election program. Over 4.2 million enrolled voters and upwards of 2,000 candidates will participate in nearly 300 individual elections.

I am proud to present this election service plan detailing how the Victorian Electoral Commission (VEC) intends to deliver such a significant program of elections.

This service plan outlines the VEC's work at each stage of the election timeline and sets targets to assess the success of the program following its completion. It also details the areas where local councils will be contributing to preparations for these elections and builds on the important partnership between the VEC and its local government clients.

The passage of the Local Government Act 2020 (the **Act**) through the Victorian Parliament in March 2020 has required this Service Plan to be re-issued. Changes to the Act and the circumstances of the COVID-19 pandemic have significantly influenced the conduct of the 2020 local government elections and the services provided to councils. There are still some unknowns in relation to how some key election activities will be managed, particularly with social distancing requirements and the management of staff and stakeholder health and wellbeing obligations. The VEC is working quickly on providing a COVIDSafe election plan to supplement this Service Plan and this will be made available to clients, councils and election participants as soon as possible.

Delivery of the 2020 local government election program will require close and careful consultation with councils and I undertake to do this. I am confident the election program can be delivered fully compliant with State law, with accuracy and integrity while meeting health and safety obligations.

I look forward to working alongside the local government sector as we deliver this election service plan.

Warwick Gately AM Electoral Commissioner

# Acknowledgement of Country

The VEC pays respect to Victoria's traditional owners and their elders past and present who have been custodians of this country for many thousands of years. Their living culture and their role in the life of Victoria is acknowledged by the VEC.

# History of the plan

This document has been prepared in consultation with Victoria's local government sector. The Victorian Electoral Commission (VEC) released the draft election service plan in October 2019 and presented the plan at a series of consultation sessions held across the State during October 2019.

The VEC appreciates the council officers who made themselves available to attend the session and contribute to planning for the 2020 local government elections.

In July 2020 this document was revised to reflect the passage of the *Local Government Act 2020* through Parliament.

For further information on the VEC's local government program and planning for the 2020 local government elections, contact:

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This election service plan is also available on the VEC's website at vec.vic.gov.au in Microsoft Word and PDF formats.

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# 1. Background and purpose

# VEC's role in conducting local government elections

The Victorian Electoral Commission (VEC) is the statutory election service provider to Victorian local councils. The VEC has been the sole provider of election services to Victorian local councils since 2004 and has a long history of working in partnership with the local government sector. The VEC has been the legislated provider of election services since 2015 and operates in accordance with the Local Government Act 2020.

The VEC conducted an extensive debriefing program following the 2016 local government elections and submitted a comprehensive report to the Parliament in 2017. The report, which is available on the VEC's website, contained several recommendations aimed to improve the administration for the next large-scale local government electoral event.

The VEC has a long-standing commitment to the local government sector to deliver high quality election services. Planning for the delivery of the 2020 local government elections commenced in early 2019 based on the *Local Government Act* 1989. The service plan has since been updated to reflect the current legislation. The VEC will continue to plan on the basis of conducting elections on behalf of 76 of Victoria's 79 local councils<sup>1</sup> and has prepared this election service plan to explain its anticipated Statewide roll out of the 2020 local government election program.

## **Principles**

The VEC's local government election service program follows three major principles:

### Service excellence

The VEC:

- aims to deliver fair and equitable elections and high quality, value for money products and services
- will perform all tasks in accordance with electoral law and approach all participants in the process fairly
- will provide a high-quality election service and will work to provide every voter with a quality and convenient service in which to exercise their democratic rights.

To effectively meets its legislative obligations the VEC must respond to a changing electoral environment and align our approach with the expectations of our voters, our clients and our partners.

#### Local focus for election services

An Election Manager will be appointed by the Electoral Commissioner for each local council's election. Victorian law gives the Election Manager full responsibility for the conduct of each election. Accordingly, the management of candidates, enquiries from the public, and vote counting will take place locally within each council wherever possible.

Contingency plans will be put in place to cover overflow enquiries from voters, and where suitable, to take advantage of centralised counting venues.

#### Costs to be kept to a minimum

The VEC's election service plan aims to provide quality election services whilst keeping costs to councils to a minimum. The VEC's local government election program will meet all statutory requirements and ensure that customer service and accuracy are not compromised. Each aspect of the 2020 local government election program, but particularly any new initiatives or procedures that are being introduced to the program for the first time, will be analysed in detail for their cost and benefits.

placed into administration in early 2020. The Councils' general elections have been postponed until October 2024.

<sup>&</sup>lt;sup>1</sup> The South Gippsland Shire Council was placed into administration in mid-2019. The Council's general election has been postponed until October 2021. Casey City Council and Whittlesea City Council were

Although cost increases in some areas of the local government election program are unavoidable, the VEC will look for opportunities for efficiencies to help minimise cost increases where possible.

## Legal framework

The local government election program in Victoria is governed by the Local Government Act 2020 (the Act) and other laws involved in local government election matters, including the City of Melbourne Act 2001 and, in relation to compulsory voting enforcement, Infringements Act 2006 and the Fines Reform Act 2014. The VEC is guided in technical and procedural matters by the regulations established under these laws.

Importantly, the Act is the basis of all local government electoral events. Following the passage of the Act through Parliament, the VEC completed an assessment of the associated legislative impacts. The 2020 local government election program was adjusted to accommodate this reform and impacts of the changes continue to be communicated with councils.

A key reform of the Act stipulates a single method of voting be utilised across local government general elections with the method to be determined by the Minister.

In accordance with the Minister's determination<sup>2</sup> all elections will be held by postal voting. The postal election timeline remains unchanged and is shown in Appendix 2. This timeline is now applicable to all councils holding a general election in 2020.

## **Purpose of this document**

The purpose of this document is to set out the VEC's plans for the delivery of the 2020 local government elections.

It should be noted that the election services outlined in this document apply to postal elections. The election service plan does not include variations specific to Melbourne City Council elections, which will be considered separately in discussions with the City of Melbourne. In preparing this plan, the VEC has considered the volumes and timelines associated with the conduct of 76 concurrent general elections, as well as feedback from the 2016 local government elections, areas of potential risk, and initiatives that improve the efficiency and quality of the election services while minimising increases in overall cost.

The document outlines:

- the VEC's proposed timeline for election preparations, the preparation of estimates and quotes for election services, and the election and post-election periods
- the opportunities that concurrent local government elections provide, balanced with some challenges that need to be addressed by the VEC as an election service provider to local councils
- a description of the election services that the VEC proposes to deliver to councils
- new areas and key changes to the VEC's election services from the 2016 local government elections, with reasons why the change is suggested.

By publishing the election service plan the VEC is able to communicate the election services it proposes to deliver to councils for the 2020 elections along with an indicative cost for these services. The VEC believes it is necessary to communicate this information to the local government sector as early as possible to maximise cost efficiency and quality, and to minimise risk. At the same time, this provides councils with visibility of the budgetary impact for the conduct of local government elections.

 $<sup>^{\</sup>rm 2}$  The Minister published this decision in the Government Gazette on 18 May 2020

# 2. Performance targets

The VEC is committed to continuing to deliver high quality election services to the local government sector. In support of this commitment, the VEC has established and published performance targets for critical areas. These targets will assist in measuring the quality and effectiveness of the 2020 local government elections program, in addition to the more comprehensive internal and external debriefing activities following the elections. The VEC's proposed debriefing activities are discussed later in this election service plan.

The proposed targets include aspirational and operational measures and will allow the VEC to more accurately focus its reporting.

#### **Election preparation**

- 1. Establish all election service agreements with local councils by 29 May 2020.
- 2. Establish election offices that are accessible and suitably located within the local council and publish accurate information on their accessibility ratings.
- 3. Conduct a public awareness campaign to inform all Victorians of their opportunity to enrol and vote with a focus on culturally and linguistically diverse (CALD) communities, people experiencing homelessness, people living with a disability, and the Indigenous community.
- Appropriately evaluate and respond to complaints and enquiries, including timely referral of compliance matters to the relevant investigating authority.

#### **Election conduct**

5. Achieve at least 99.95% accuracy in each municipal voters' roll, excluding processing errors outside of the VEC's control.

- 6. Ensure all communication products are produced in an accurate and timely manner, are compliant with the legislation, and are focused on enhancing electoral understanding and participation.
- 7. Provide information to assist prospective candidates and ensure systems provide efficient processing of nominations and candidate information.
- 8. Lodge all postal ballot material with Australia Post within the required timeframes.
- 9. Declare all elections before 5.00 pm on Friday 13 November 2020.
- 10. Continue to maximise opportunities to increase voter participation in local government elections, with a particular focus on those traditionally under-represented in the electoral process and in areas with predicted high unintentional informality and low turnout.

#### **Election outcomes**

- Establish robust election procedures so that no election can be overturned as a result of the VEC's processes.
- 12. Evaluate its performance at each level of the local government election program and ensure its reporting obligations are met.
- Implement reconciliation and integrity checks to ensure correct recording of results during counting activities and during the packaging, movement and storage of election material.
- 14. Maintain accountability for the cost of local government elections and continue to identify opportunities to minimise the cost impost on councils.

# **3. Proposed timeline**

The lead-time for the management of 76 council elections is estimated to be around 18 months. This is equivalent to the lead-time required for the management of a State election. As such, the VEC has established the following timeline to ensure that services can be produced in a timely fashion and resources can be appropriately and efficiently allocated.

A more detailed timeline is included in Appendix 2.

Date	Activity			
2019				
September	Roll data requirements communicated at the Revenue Management Association's AGM			
October	Consultation sessions to present the proposed election program to the sector			
Friday 8 November	The period for feedback on the draft election service plan ends			
By Friday 13 December	Final election service plan is completed and dispatched to the sector Election cost estimates are prepared and dispatched to councils			
2020				
January to end of March	Service level discussions with councils, including finalising key parameters, preparing quotes and election service agreements			
February to end of June	Client liaison officer briefings with council contacts, including organising inspection of council-provided election office accommodation			
March to 23 October	Deliver outreach electoral information and education sessions to priority communities			
2 March to 30 April	Period for receiving preliminary voters' roll data from local councils			
During April	Final period for finalising election service agreements			
April to June	Period for councils to action the VEC's feedback on preliminary voters' roll data			
Friday 24 April	Statutory deadline for the VEC to submit final reports for electoral representation and subdivision reviews to the Minister for Local Government			
Friday 29 May	All election service agreements between VEC and councils fixed			
Beginning of July	Access to election office accommodation is required for connections			
Monday 13 July	Date for the provision of primary council enrolment data (fixed by the VEC)			
During August	Delivery and installation of election offices			
Friday 28 August	Close of the roll at 4.00 pm			
Wednesday 16 September	Election offices open to the public			
Thursday 17 September	Certification of the voters' roll Opening of the nomination period			
Tuesday 22 September	Close of nominations at 12 noon Ballot draws to determine the ballot paper orders			

Date	Activity			
Wednesday 23 September	Opening of early voting for elections Deadline for lodgement of personal statements and photographs for postal elections at 12 noon Deadline for lodgement of candidate questionnaires at 12 noon			
Friday 23 October	Close of voting for all elections at 6.00 pm			
Saturday 24 October	Extraction and counting activities commence			
Friday 30 October	Postal vote receipt period closes at 12 noon			
Sunday 25 October to Friday 13 November	Counting and declarations			
2021				
Early January	Non-voter follow up commences			

# Critical elements to the proposed timeline

#### **Election Service Agreement**

The VEC will establish election service agreements with each local council to ensure transparency with the costing arrangement and administer any contingency services that may be required.

Election cost estimates were prepared and provided to councils during December 2019 to assist councils with budgeting. The VEC contacted each council in January 2020 to finalise key parameters to prepare final quotes and service agreements.

The VEC scheduled to have all agreements in place by 29 May 2020 to ensure that it was in the best negotiating position with suppliers by having fully established parameters.

Compulsory voting enforcement, including the prosecution of non-voters, is a mandatory requirement under the Act and will be costed separately under the service agreement. The timeline for compulsory voting enforcement will extend beyond the 2020-21 financial year as prosecutions progress through the court process.

The VEC's service agreements include three service levels — (1) the preparation of the municipal voters' roll, (2) conduct of the election, and (3) compulsory voting enforcement. The same arrangement was implemented in 2016 to reflect the status of each service as separate pieces of the broader election timeline.

The VEC notes that some councils may be subject to electoral structure changes implemented by the Minister for Local Government. The VEC will provide election costs based on the current or recommended structure, depending on what stage the review is at. Alternative cost estimates can be prepared if required.

## Voters' roll

The quality of each election is largely dependent on the quality of the voters' roll and the timelines that apply for roll preparation are very tight. The VEC is keen to work with councils as early as possible to maximise the quality of the voters' roll and reduce the opportunity for errors. This will require preliminary roll data to be available from 2 March 2020. Key dates relating to the receipt of roll data from councils and the preparation of the voters' roll are included in the timeline see Appendix 3. Since the authority to collect individual dates of birth on notices of acquisition has been in place since 2005, the VEC expects that councils will have dates of birth included with at least 90% of the Chief Executive Officer's voter lists (CEO list) records.

The VEC is again offering a service to provide councils with dates of birth where council records can be matched with a State roll record. This will commence in October 2019. To access this service, data should be provided to the VEC as soon as possible. Note that the VEC can manage entire database extracts, not just the CEO list.

#### **Declaration timetable**

At the 2016 local government elections, the VEC declared all elections by Friday 4 November 2016. A change to legislation prior to the 2016 local government elections allowed for a five-day extended postal vote receipt period after election day, where votes were able to be admitted for postal and attendance elections if the Election Manager was satisfied that the declaration was completed by the voter before the close of voting. The close of the extended postal vote receipt period for the 2020 elections will be 12 noon on Friday 30 October 2020.

The extraction of ballot papers will commence after the close of voting and will be finalised at the end of the extended period. Due to the extended postal vote receipt period, counting and results cannot be finalised until the extended period has expired.

For the 2020 local government elections, the VEC proposes to have all elections declared by Friday 13 November, to allow for increased processing and counting times due to social distancing requirements. More detail regarding the proposed conduct of counts is included from page 20 of this election service plan.

# 4. Opportunities and challenges

The concurrent conduct of 76 council elections provides a number of opportunities and challenges.

The main benefit of conducting concurrent elections is the ability to promote the elections on a Statewide basis through an advertising and communication campaign. This will ensure consistency where possible across elections and reduce possible confusion for voters. In addition to this, the VEC is able to provide cost efficient services.

There are two main challenges the VEC considers of most importance to the 2020 local government election program:

## 1. Low voter participation

The challenge of low voter participation must be addressed. Whilst the VEC is experiencing high enrolment this is not translating to participation. A reduction in participation was experienced at the 2016 local government elections, and further during the 2018 State election. In response to this, the VEC has expanded the Statewide advertising component in its proposed advertising and communication strategy. The proposed pilot of the VEC's VoterAlert messaging service to voters on the State roll with email or mobile telephone numbers will allow instant communication to remind voters of key milestones and their voting responsibilities. It is aimed that voter engagement through this service has a positive impact on voter participation.

The VEC is also delivering a pilot program to engage younger voters who are over-represented in low voter turnout figures.

# 2. The high volume of elections in set timeframes

There are also several challenges to be faced when conducting such a high number of elections in the required timeframes, especially as voter numbers increase. The estimated volumes, timelines, cost, quality, risk and experience from previous elections as well as the community's and local government sector's expectations must all be considered in developing the election service package. A table showing the volumes involved in the conduct of the 76 concurrent local government elections in 2016 is included in Appendix 5. It is expected that the conduct of elections for 76 councils in 2020 will involve over 290 individual elections.

The activities taking place between the close of nominations and the dispatch of ballot packs for postal elections are especially time critical. In order to meet the required timelines for the high number of individual elections the VEC is looking at innovative ways to save as many hours as possible during this period. The timeline for the production of voters' rolls for 76 councils is also extremely tight, and the strategy that the VEC is proposing in order to meet this target without compromising the quality of the roll is discussed later in this election service plan.

The VEC has attempted to balance these considerations in putting together this proposed election service package. In doing so, variations to the standard election service package have been minimised where quality services can be provided in a consistent Statewide manner. The following sections outline the VEC's service package. Initiatives in place for the 2020 local government elections are summarised in Appendix 1.

# 5. Proposed election service package

## **Election service management**

Seven client liaison officers have been appointed to supervise the election service agreement for each council. The client liaison officer will communicate with the council's representative and provide regular reports on the progress of the elections. The client liaison officer will be responsible for ensuring that the elections are conducted in accordance with the legislation and within the terms of the service agreement. The Client Liaison Team is the local government sector's first point of contact for queries in relation to the VEC's election service. At the conclusion of the 2020 local government elections, the client liaison officers will prepare a report on each election, including any recommendations for future elections.

Each client liaison officer will work closely with the VEC's Communication Team, which will manage the advertising and communications campaign for each local council election. The Communication Team will coordinate the development and placement of advertising, write and distribute media releases, and prepare election information for the VEC's website. The client liaison officers will forward copies of all voter information products to councils as they become available.

## Voters' roll production

The VEC provides all councils with the Electoral Commissioner's voters list (EC list) for council election purposes. This is the list of State electors that are enrolled within that local government area and is designed to assist in the preparation of the CEO list. The EC list is merged with the CEO list to produce the voters' roll for each local council for certification by the VEC.

The VEC has provided roll production services to local councils since 1995 and has developed sophisticated software tools and processing procedures for maximising the quality of the voters' roll. The process involves a preliminary file from each council for quality checking, a second CEO list at the date fixed by the VEC, and a third certification CEO list at the close of roll. The latter two data files are compared using the VEC's software, resulting in a small 'update' file, which can be processed quickly in the two-week period allowed for production of the roll for certification. A range of roll products are then extracted from the certified roll, including electronic and hard copy rolls and ballot material mail-out files.

# Security of transferring roll data between local councils and the VEC

The VEC will again use DEx, an online secure file transfer service, to exchange roll data between local councils and the VEC. DEx has been in use since 2016 and most local council roll contacts are familiar with the service. The DEx server is hosted in Australia and complies with all relevant privacy and data protection legislation and guidelines, and greatly reduces the risk of privacy breaches during the exchange of highly sensitive roll data. The transfer of roll data between the VEC and local government partners will also be more convenient and timelier, particularly during the short periods to process roll information in the election timeline.

### **Roll data quality**

Producing a high-quality voters' roll takes significant effort and resources from councils as well as the VEC. Much of this can be done well before the election timeline commences, when legislative deadlines for final roll production restrict the time available for quality assurance. By participating in the preliminary process (March -April 2020) and by investigating and acting on the reports provided from this activity before the date for the primary enrolment extract, councils will be in a good position to ensure a high-quality voters' roll.

VEC software tools can easily identify exact duplicates (i.e. identical name, date of birth and address) and the council record is removed.

However, it is considerably more difficult to identify possible and probable duplicates. The VEC's Roll Management System can identify potential duplicates between council data and the Victorian register of electors. A proportion of these matches will not be able to be definitively resolved with the information available to the VEC and will have to be referred to the relevant council for a final decision. It is important that this process commences as early as possible so that there is time to contact voters to clarify their details, including date of birth and any property holdings.

Other checks are done to identify people who have been removed from the Victorian register of electors following medical evidence of unsound mind. In addition, the VEC makes every effort to identify CEO list voters who have been approved to have their address not shown on the State roll (i.e. silent electors) to ensure that their address is not shown on CEO lists for any other voting entitlements they have in other local councils.

The VEC has software that can, in most cases, create a valid address suitable for mailing. Addresses needing further manual clarification are also identified for council checking and correction as necessary, as these are possible ward coding errors in council data.

#### Timelines

To make optimum use of the VEC's quality auditing tools, time is critical. Negotiating data compatibility, ensuring data validity, and identifying, confirming and removing duplicates all take time and effort from both VEC and council staff. The VEC aims for comprehensiveness and accuracy when creating the roll for an election so exchanging data and early quality checking of data improves the final product. See the key dates for roll production in Appendix 3.

#### **Roll production**

In order for the final roll to be produced within the legislative timeframe, councils are asked to:

- ensure that at least 90% of CEO list records include dates of birth
- nominate a council officer to resolve data issues promptly during each of the roll production processing periods:
  - preliminary processing (early March end of April)
  - primary council enrolment data (13 July 21 August)
  - certification (28 August 16 September)

- supply a preliminary CEO list in an agreed format (see Appendix 6) as early as possible in the cycle and, at the latest, by 1 May 2020
- supply the council's CEO list in the agreed format by 5.00 pm on Friday 10 July, extracting from the council database one record only across the whole local council for each voter who is eligible for the CEO list
- action the reports generated by preliminary roll processing before the date fixed for requiring council enrolment data—Monday 13 July. This will involve investigating and deciding on duplicate records, removing deceased voters and correcting invalid data
- perform as much quality checking of the council enrolment data as possible before the close of roll
- supply a certification CEO list in a file in the same format as the primary enrolment data (this will be used to generate the update file), no later than 5.00 pm on Monday 31 August 2020.

In processing the primary enrolment data for each council, the VEC assumes that no more than 10% of the CEO list records will need to be removed because they are duplicates or deceased. This will be the case if all the preliminary reports to each council have been actioned.

The VEC values its partnership with local councils to meet these deadlines and requirements and will work with each council to ensure a high-quality voters' roll. Due to the short timeframe, however, there are additional costs to the VEC if a council cannot meet these targets. Additional risk is unnecessarily introduced when slippages occur or roll feedback from the VEC is not actioned. The level of training required for VEC officers to perform these tasks makes it impossible to employ additional staff at short notice. As a result, and in consultation with the client liaison officer, the VEC may seek to recover these costs through the election service agreement.

#### **Council software**

One further factor that local councils need to be aware of in planning for the 2020 local government elections is the timing of software system upgrades or changes of software provider. These need to be implemented and integrated by February 2020 at the latest to minimise risk to the roll production process.

#### **Certified voters' roll**

The Act requires the VEC to certify the voters' roll. The VEC is also responsible for making the certified voters' roll available for inspection beginning on the day it is certified until 30 days after election day.

#### Provision of voters' rolls to candidates

On request, the VEC will provide each candidate with a copy of the voters' roll for the election in which the candidate has nominated. The roll is provided for election purposes only.

These rolls will be provided by the VEC electronically via DEx and will be in a user-friendly format to assist candidates with direct mail campaigning. Before providing the voters' roll to a candidate, they are required to declare that the information will only be used for campaign purposes. The voters' roll must be destroyed or returned to the VEC following the election. The VEC will follow up with candidates directly to remind them of their obligations to dispose of roll data following the election.

### Advertising and communication campaign

The VEC will deliver a voter information campaign on a local and State-wide basis. The historically low participation in, and awareness of, local government electoral events is a challenge. The campaign must address this issue and will necessarily involve additional cost. The campaign will be developed to:

- meet statutory requirements
- increase voters' awareness of their rights and obligations
- maximise voter turnout
- minimise the informal vote.

The VEC will provide advertising services, media relations, election information and certain advertisements on the VEC's website, and an SMS and email notification service (VoterAlert). Information and assistance will be provided through an overflow service to respond to calls that have been directed to election offices (when all lines in a particular office are busy). Information in languages other than English and a telephone interpreter service will also be part of the VEC's standard package, where applicable.

#### Statutory advertising (all councils)

Statutory advertisements will appear in the general news section of local newspapers nominated by each council, and will comprise the following:

- a 'notice of election' advertisement: including how to nominate, details of candidate information session/s, an electorate map and, where applicable, a summary of any variations resulting from a representation review
- a 'voting details' advertisement: including information on how to vote, formality, and how to contact the election office to request replacement ballot material
- a 'declaration of results' advertisement.

Local press advertising for all councils will include a telephone enquiry number for the election office, a National Relay Service enquiry number (for people who are deaf, hard of hearing and/or speech impaired) and the VEC's website address. Telephone numbers for the multi-language interpreting service will be included in press advertising for metropolitan councils and, on request, for rural or regional councils or where a non-metropolitan council selects the option of a multi-language leaflet.

The notice of election advertisement will also include messaging around the registration requirement for voters who wish to have their ballot material provided in Braille or large print format in line with the Charter of Human Rights and Responsibilities Act 2006.

#### Statewide advertising (all councils)

Having all local government elections conducted concurrently allows the VEC to maximise promotion opportunities. The State-wide advertising campaign will promote enrolment and voting, including a final reminder to vote. The cost of the campaign will be separated into metropolitan and regional coverage and charged to all councils in their respective category on a cost per voter basis.

#### VoterAlert

At the 2018 State election, the VEC developed, piloted and implemented an email and SMS notification service called VoterAlert. This service was used to engage State-enrolled voters who had supplied a mobile phone number and/or email address. A rolling program of messages welcomed these voters and reminded them to check their enrolment details before the close of rolls. A further two messages were sent during the voting period with reminders to vote and links to the VEC website for further information.

Around 50% of voters on the State enrolment register with contact details are opted in to this service and 90% of people who received a VoterAlert message turned out to vote at the State election. In the lead up to the elections the VEC will run a campaign to encourage further sign up to this service.

For the 2020 local government elections the VEC proposes to roll out a similar service, where Stateenrolled voters will be reminded to check their enrolment details prior to the close of rolls, and to vote via two reminders sent during the voting period. VoterAlert gives voters the ability to select whether they receive an SMS, an email or both.

# Multi-language advertising campaign (metropolitan councils only)

The State-wide advertising campaign will be extended for metropolitan councils to include ethnic media in high need languages. It will comprise advertisements focusing on enrolment and voting, to raise awareness among people from non-English speaking backgrounds and to encourage formal voting. This campaign will be costed across all metropolitan councils on a per voter basis.

# Multi-language telephone interpreting service (all councils)

The telephone interpreting and multi-language information service will operate throughout the election period. Operated by Language Loop, interpreting services are available in more than 100 languages. A minimum of 20 dedicated telephone numbers will be provided for the most widely spoken languages in Victoria (plus a general number capturing all other languages).

Enquiries specific to a particular council election will be costed back to that council. Incoming calls are directed to a Victorian Interpreting and Translation Service (VITS) interpreter, who then links to the VEC in a three-way telephone conversation to relay information in the person's preferred language.

#### Mail-out to blind and vision-impaired voters

The VEC will liaise with Vision Australia (Vic) and Blind Citizens (Vic) to produce material to be sent to all Victorian voters on each of their databases. The communication will make these voters aware that elections are occurring, and provide basic information about the elections, contact details for further information, and a special hotline number for those who wish to register for Braille or large print ballot material.

# Uncontested election leaflet (subdivided councils only)

If, at the close of nominations, a ward election is uncontested, a leaflet will be mailed to affected voters, informing them that their election is uncontested and they are not required to vote for that election. The leaflet will provide the name of the candidate(s) who have nominated and will, in due course, be declared elected. The leaflet will substantially reduce the potential for confusion among voters who are aware of the election but do not receive a ballot pack in the mail.

Where a subdivided council is entirely uncontested, a notice in the council's nominated local press will replace the uncontested election leaflet.

Voters enrolled in uncontested wards who are opted-in to VoterAlert will also be sent a VoterAlert message by SMS, email or both to advise them that the election is uncontested.

#### **VEC** website

The VEC website will feature comprehensive information about local government elections generally, as well as specific information for each local council's election. Content will be updated at each phase of the election cycle including information about:

- enrolment
- nominating for election
- early voting (prior to the mail out of ballot papers) details of how to vote and when ballot packs will be posted
- redirection of ballot packs
- replacement ballot packs
- the close of voting
- election results.

Users will be able to search their address and be directed to election information for their local government area.

Results information will be published on the VEC website and will include first preference results, preference distribution results (including a downloadable preference distribution report, if required), and the names of elected candidates.

Links to translated electoral information, including telephone numbers for the multilanguage interpreting service are accessible from the home page of the VEC website.

The VEC will direct voters to the website in all advertisements and any printed material produced, as well as by providing content to each council to assist the council to link directly to that council's election page on the VEC's website.

#### **Reminder advertisement**

A final reminder for voters to cast their votes will be included. This advertisement will be listed in the council's nominated local press and it will:

- · remind voters of the close of voting
- list where ballot material can be hand delivered
- provide instructions on how to apply for a replacement postal vote
- advise who has to vote
- include contact details for further information.

#### **Multi-language leaflet for elections**

A leaflet supplied in a minimum of 20 high need languages (plus English) that explains, step-bystep, how to vote formally can be included in the ballot pack. It also includes telephone interpreter numbers for each language (plus the one general number for any other languages). See Appendix 7 for a list of proposed languages.

This product will be included as standard for all metropolitan council elections and is strongly recommended for regional councils with high numbers of voters from non-English speaking backgrounds.

## **Election office and staff**

# Election Manager, Assistant Election Manager and staff

The VEC maintains a pool of trained senior election officials to ensure that enough suitable election staff are available for appointment as Election Managers and Assistant Election Managers for the 76 local council elections. Each Election Manager may appoint up to three Assistant Election Managers, as determined by the VEC, depending on the size and complexity of their particular elections. All Election Managers and Assistant Election Managers appointed by the VEC will have satisfactorily completed a comprehensive online training program as well as a number of home study modules prior to their appointment.

A large number of the VEC's senior election officials will have previous local government election experience, State Parliamentary election experience, or both. Recruitment for new senior election officials looks for transferable skills, such as project and people management, which the VEC uses to complement its election training program. Further training, specifically in local government elections is compulsory for all senior election officials before they can be appointed to an Election Manager or Assistant Election Manager role. The training program includes a comprehensive focus on the practical aspects of local government elections and the procedures that must be followed.

The Election Manager will be authorised to appoint an optimum number of staff to ensure the cost-effective and successful conduct of their election. A team of election support officers will be appointed to support Election Managers during the election period. Located at the VEC's head office, the election support officers will be the first point of contact for Election Managers for assistance and advice on legislative, procedural, and technical matters. All election support officers are experienced electoral practitioners.

#### **Election office**

The VEC will establish an election office within each council area. The VEC will endeavour to source an office that is conveniently positioned for candidates and voters and meets the VEC's accessibility and security standards. Importantly, the office must meet social distancing requirements associated with COVID-19 and will remain under constant review. Should council have suitable space available for an election office within its own premises, or other premises owned or operated by council, the VEC will work with council to determine its suitability. The VEC must be the sole occupant of the premises during the election period.

Election offices will be clearly identified, with appropriate signage and security. The VEC will check all access points and, where necessary, arrange for new locks to be fitted.

The following table is provided as a guide of the floor space required when considering election office accommodation options, though it may be necessary to secure additional space for counting activities based on social distancing requirements, the council structure, contested elections and count type i.e. manual vs. computer.

Number of Voters	Recommended Office Space (Note: these dimensions do not reflect social distancing requirements)	Recommended size of secure storage within office space
Up to 10,000	200-300 sq. m	5 sq. m
10,001 to 50,000	300–400 sq. m	10 sq. m
50,001-70,000	400–500 sq. m	15 sq. m
70,001–130,000	500-750 sq. m	25 sq. m
>130,001	Minimum 750 sq. m	Minimum 25 sq. m

It is noted that the most suitable spaces for election offices have open floor plans without built in cubicles or multiple individual offices. All prospective election offices will be assessed by the VEC and must meet the strict standards for occupational health and safety including social distancing, accessibility, security and storage.

For the 2020 local government elections, the VEC will require access to election offices by the end of July 2020 in order to deliver necessary furniture and materials and install and commission telephones and computers in time for the opening of the office.

The VEC will arrange the collection of materials and equipment from election offices commencing as soon as practicable once declarations have been completed, with final collections taking place no later than Friday 27 November 2020. Smaller election offices may be decommissioned earlier and the VEC will prioritise decommissioning of election offices located within council provided accommodation.

#### **Ballot paper security**

An important focus of the VEC at the 2018 State election continued to be the secure storage and transport of used and unused ballot papers. The VEC implemented logistical and security procedures that ensured ballot papers were always stored in lockable storage areas, ballot paper accountability and reconciliation was increased, as was awareness of the safe and secure custody and transfer of ballot papers during the election timeline. The VEC will implement similar procedures for the 2020 local government elections.

The VEC will assess each proposed election office venue for its compliance with the security standards. Training for Election Managers and Assistant Election Managers will reinforce the VEC's stringent security expectations. Where necessary, the VEC will provide temporary secure storage for ballot papers, such as secure cages. Should any physical modifications be required to election offices to meet secure storage requirements, they will be made in consultation with the council (for council provided election office accommodation), or the property manager/owner (for leased election office accommodation), pursuant to any lease arrangements.

#### **Furniture and equipment**

The VEC will provide the Election Manager with a network of computers, photocopier/multi-function device, letter openers, ballot paper counting machines, cardboard furniture and other furniture and equipment. These items present a modern corporate image to the community and have proved cost-effective for use over a limited period.

#### **Computerised election management system**

The computerised election management system that is provided to the Election Manager contains details of each individual election and the voters' rolls. Nominations, candidate statements (postal elections), candidate questionnaire answers, early and postal votes and results are all entered by the Election Manager directly into the computer application. This interfaces with systems at the VEC to produce the artwork for printing ballot papers, candidate statements and other products required for the election and to publish information directly onto the VEC's website.

#### **Office hours**

Election offices will be open to the public by appointment, from Wednesday 16 September until Friday 23 October. Election office opening hours will be standardised across the State and will operate from 9.00 am to 5.00 pm weekdays, except for the last two days before election day when election offices will close at 8.00 pm on Thursday 22 October and 6.00 pm on Friday 23 October. While, standardised election office hours assist with providing consistent messages to voters through the advertising and communication campaign, some local changes may be required given social distancing requirements.

#### Local telephone enquiry service

Election Managers will provide a local telephone enquiry service to handle election enquiries during the election period. The service will operate during office hours. Telephone enquiry staff will be located at the election office and will be provided with access to look-up tools containing key details for the election. The telephone service at the election office will be linked to the VEC's phone system and will allow for an overflow service when local telephone enquiry staff are fully occupied.

Based on call volumes during the 2016 local government elections, the VEC will establish necessary infrastructure at head office to respond to enquiries regarding the 2020 local government elections prior to election offices being open for business.

## Candidates

#### Information session

The VEC will prepare and make available information regarding standing for election. This information will be available close to the opening of nominations. Whilst previously presented by the Election Manager as a face-to-face information session, the format for 2020 will be that the information session will be available 'online'. The information session will cover the election timeline, the procedures and rules relevant to candidates (with particular emphasis on the mandatory local government training, rules regarding election advertising that are often the subject of complaints). Election Managers will ensure that prospective candidates are aware that the VEC is responsible for the conduct of the election and that election enquiries should be directed to the election office, not council staff.

Election Managers will be equipped with candidate kits for prospective candidates, which will contain a handbook and any forms relevant to candidature. This information will also be available on the VEC's website.

#### Nominations

The Election Manager will receive and record nominations from candidates, including the receipt of the \$250 nomination fee.

Nomination processes will be subject to strict social distancing requirements at the election office and will be required to complete the VEC's online visitor log. Prospective candidates must liaise early with the Election Manager to arrange an appointment. Prospective candidates will be encouraged to complete their nomination form using the VEC's online Candidate Helper, which allows candidates to print a populated nomination form containing a unique identifier for easy lodgement with the Election Manager. Nomination forms completed using the Candidate Helper must still be lodged with the Election Manager, but the nomination process will be more efficient for candidates when they do visit the election office. The unique identifier printed on nomination forms prepared using the online Candidate Helper allows Election Managers to retrieve the candidate's data and load it directly into the VEC's election management system.

In all cases, candidates will be required to quality assure their nomination information. Election Managers will carefully run through the candidate declaration before the declaration is signed by the candidate and the nomination completed.

The list of candidates who have nominated for each local council election will be regularly updated on the VEC's website. Candidates' names and public contact details, where provided, will appear soon after a full quality assurance process has been completed for their nomination. The VEC anticipates updates to the lists of candidates on the VEC website to be made at approximately 11.00 am and 5.00 pm on each day during the nomination period, and the final list of candidates as soon as possible after the close of nominations.

#### Draw for ballot paper position

Election Managers will conduct a ballot draw to determine the order that candidates' names will appear on the ballot paper as soon as practicable after the close of nominations.

Ballot draws will be conducted electronically with the order of names on the ballot paper determined by a computerised random draw. Electronic draws have been used for State elections since 1999 and for local government elections since 2008.

The VEC's electronic application has been independently audited to ensure the result is random. Electronic ballot draws create significant efficiencies, reducing the risk and time involved with manual data entry of ballot draw results and thus the time between the draws and dispatching ballot paper files to the printer. The electronic draw also enables the publication of the final list of candidates, in ballot paper order, to be updated to the VEC's website by 5.00 pm after the close of nominations.

Arrangements for the conduct of ballot draws will be communicated to candidates and other interested parties closer to the close of nominations.

#### **Candidate statements and photographs**

Election Managers will receive candidates' personal statements and photographs. Prospective candidates will also be able to complete the forms to lodge their personal statements using the VEC's online Candidate Helper. This will enable candidates to print their statement ready for lodgement with the Election Manager. Again, this will streamline the process for candidates and allow Election Managers to load the statement directly into the VEC's election management system. Candidates, or their authorised representative, will be required to quality assure the information entered into the election management system. Candidates will be encouraged to provide an electronic version of their photograph that will be directly loaded into the election management system. Hard copy photographs are also acceptable; however, these will take more time to format and load into the system.

The candidate handbook will provide clear information on the requirements for preparing and submitting statements and photographs. Election Managers will not assist candidates with the preparation of their statement content.

Completion of the candidate statements is voluntary. The completed statements will be accessible to voters on the VEC website, in election offices and will be included in the postal ballot pack.

#### **Candidate questionnaires**

Election Managers will receive answers to candidate questionnaires completed by candidates. Candidates will also be able to complete their questionnaire using the VEC's online Candidate Helper prior to lodging it with the Election Manager. The completed questionnaires will be accessible to voters on the VEC website and available at the election office.

Completion of the candidate questionnaire is voluntary. However, the VEC will publish advice showing which candidates did not lodge answers to the candidate questionnaire or did not answer any particular question.

#### **Refund of Nomination Fee**

Candidates who receive 4% or more of the formal first preference vote, or who are elected, will have their nomination fee refunded as soon as practicable after the declaration of the election.

Nomination fees will be refunded to eligible candidates by direct credit into a nominated bank account or, where requested, by cheque. Payments of fees forfeited by candidates who are ineligible to have their nomination fee returned will be sent to local councils at the same time.

#### **Ballot material**

VEC employees will supervise all stages in the preparation, printing, assembly and dispatch of ballot material.

Considerable time will be devoted to the recruitment and training of quality assurance staff to oversee the preparation of ballot material for the elections. As all local government elections are to be held using the postal system of voting, the timeline for the preparation of ballot packs is critical. The VEC has developed a strategy to manage the preparation of an estimated 4.6 million plus ballot packs. Some of the time saving elements of this strategy have already been discussed in the previous section. Further strategies are discussed below. The VEC will establish a service agreement with Australia Post for the provision of postal facilities and services for the 2020 local government elections. Discussions will be held with the security printers and mail house to ensure that coordination between VEC, printer, mail house and Australia Post is as effective as possible. The VEC will also review the information contained in the ballot packs to ensure that it is as clear as possible to voters.

#### Ballot paper and candidate statements leaflet

The ballot papers will be printed with a background security screen using a different colour for each ward.

The ballot papers will be printed as a combined product attached to the candidates' statements to minimise the risk of any errors occurring when the products are mechanically inserted into ballot packs at the mail house. The ballot papers will have a perforation along the edge that joins the ballot paper to the candidates' statements. The voter will detach the ballot paper before marking their vote.

#### Reply-paid and outer envelopes

The VEC will establish a unique reply-paid number for each ward in the council and each unsubdivided council. The reply-paid envelope will feature a coloured block (matching the colour of the ballot paper) on the left-hand side of the envelope, which also contains brief instructions to post the ballot paper on time, as well as thumb prints matching the ballot paper colour for the ward (or unsubdivided council). This facilitates pre-sorting of the envelopes to wards by Australia Post and maximises the accuracy of the sorting. The outer envelope is a window-faced envelope with a distinct marker to ensure voters easily recognise that the envelope contains official election material. All outer envelope stock containing ballot material will show the Australia Post "PRIORITY" indicator for priority service delivery.

#### **Ballot paper envelopes**

The postal ballot paper envelopes have been specially designed for use at local government elections to conform to the relevant legislative requirements.

The envelopes are designed so that their contents are not visible through the security lining and the declaration flap can be separated from the envelope by election officials before the ballot paper is extracted.

#### Multi-language leaflets

The multi-language leaflet (see page 12) will be included in the postal ballot pack as standard for all metropolitan councils using postal voting. The product is also encouraged for any other local councils using postal voting that have a high number of voters from non-English speaking backgrounds.

# Addressing, assembly and delivery of ballot packs

The VEC contracted mail house will directly print the voters' addresses (mailing and entitlement address) and barcodes on the ballot paper envelopes in preparation for assembly and delivery of ballot packs.

The mail house will assemble ballot packs for all postal elections and will lodge the ballot packs with Australia Post over three days, with no more than 35% of any ward of a council or unsubdivided council lodged on any one day in accordance with the legislation. The mail house used by the VEC has allocated a secure area within its operations that will be used solely for the printing, insertion and dispatch of ballot material to ensure the highest standards in security are met.

As previously mentioned, ballot packs for postal elections will be lodged with Australia Post under the priority delivery timetable. Australia Post has undertaken to deliver each day's lodgement of ballot packs to voters in accordance with their priority mail guidelines.

#### **Redirection of ballot material**

Voters can apply to have their ballot material redirected to an address other than their entitlement address. Voters have until the certification day for the voters' roll (also the day that nominations open) to apply for their ballot material to be redirected. The VEC will arrange for ballot material to be delivered to any voter applying for redirection to the address specified in their request.

#### **Replacement ballot material**

Any voters who contact Election Managers claiming to have lost or spoilt their ballot material, or who claim not to have received a ballot pack, will be issued with replacement ballot material. Election Managers can monitor the issue and return of replacement ballot material through the VEC's election management system to ensure that no voter is able to have more than one ballot paper admitted to the count.

#### Return of ballot paper envelopes

Ballot paper envelopes returned by the voter to the election office will be via the allocated Australia Post facility, pre-sorted by the replypaid number corresponding to each ward or unsubdivided council.

Election Managers will ensure daily reconciliation of mail received and supervise the scanning of ballot paper envelopes through the VEC's election management system to record their return.

Ballot paper envelopes will then be batched in totals of 50s (for balancing at scrutiny) and stored in a secure location until after the close of voting.

#### Security of ballot material

Interfering with ballot material is a serious offence managed under sections 294 and 296 of the Act and those found guilty of committing such an offence are liable for a term of imprisonment of five years, or a fine of 600 penalty units.

As discussed early in this election service plan, the VEC will implement a number of measures for the security and storage of ballot material at election offices and standalone extraction and counting venues. These measures are in addition to tightened accountability and reconciliation procedures governing the custody and transfer of ballot material.

## **Early voting**

Prior to the general mail-out of ballot packs, Election Managers will issue ballot papers to voters to whom special circumstances apply. Voters in this category can apply to the Election Manager for an early vote during standard office hours.

#### **Counting the votes**

Counting of the votes after close of voting will be by one of two methods that includes:

• Preferential counts

Preferential counts are used for single vacancies at elections. All preferential counts, except for the City of Melbourne leadership team election, will be counted manually.

• **Proportional representation (PR) counts** Proportional representation is used to determine the result in wards (or unsubdivided municipalities) involving multiple vacancies. The VEC anticipates conducting all PR counts using its computer count application.

The information below is provided as a guide as the timetable for each activity, and individual count plans for each council will be established once the final number of candidates and contested elections is known.

#### **Computer counts**

At the 2016 local government elections, the VEC established 47 sites for computerised counts for 69 local councils involving multiple vacancies.

The VEC's computer count application allows the VEC to easily establish computer count venues within any local council where the results will be determined by computer.

It is anticipated that a similar count model will be implemented in 2020 as was in 2016. The VEC will look to establish a mixture of centralised counting centres (to conduct computer counts for more than one local council) and individual counting centres - at either the election office or at a venue away from the election office. Where shared counting venues are established a timeline will be scheduled to optimise counting for all counts occurring at that venue. The VEC will consult with councils where centralised counting is being considered.

During a computer count, preferences on each ballot paper are entered into the VEC's computer count application by experienced data entry operators. Once all ballot papers have been entered, Election Managers will apply a function within the application to calculate a result.

#### **Manual counts**

For elections involving single vacancies, the VEC's preference is that Election Managers conduct a manual count at the election office. Where this is not possible, a venue within the local council area is to be provided by council or at cost to council. Obviously, the area required for manual counting is approximately 40 square metres per 10,000 voters. This ratio also applies to the space required for the extraction of ballot papers from ballot paper envelopes at postal elections. These space requirements are being updated as a consequence of COVID-19 and all VEC count venues will reflect Victoria Health Directives.

# Election timeline — close of voting to final result

#### Counting activities from close of voting

Due to the extended postal vote receipt period, counting for elections will be over two phases. The first phase is after the close of voting. Priority will be given to the extraction and counting of returned votes from the general mail-out where the original ballot pack is the only vote issued to, and returned by, the voter. The second phase will take place after the close of the extended period, when all votes that can be considered for inclusion in the count have been received (including any replacement votes). At this time the Election Manager will complete processing of all replacement votes received in the election office.

They will generate a report to identify any envelopes where the original ballot pack and replacement pack(s) appear to have been returned for the same voter and will action each return as prescribed.

At each phase, the relevant ballot paper envelopes are located and dealt with by Election Managers in accordance with procedures specified in Regulations. No extraction can take place until these processes are complete.

At each phase, a balance figure will be established for each ward in preparation for the extraction of ballot papers.

#### **Checking unenrolled declarations**

Declarations completed by unenrolled voters will be checked during the voting period with final checking to be completed no later than Friday 30 October. The VEC will support Election Managers with determining the entitlement of unenrolled declarations and coordinating the checking process with councils as necessary.

#### **Counting activities from Saturday 24 October**

From Saturday 24 October, teams of counting staff will commence processing of phase one ballot papers and will remove the flaps containing the voter details from the ballot paper envelopes to be included in the count, open the ballot paper envelopes and extract the ballot papers in the presence of any scrutineers. The ballot papers will be tallied to ensure that all ballot papers have been extracted from the envelopes.

The same procedure will be followed for ballot material to be included in the count after the close of the extended postal vote receipt period.

It should be noted that additional accommodation may be required for the opening, extraction and counting of ballot papers.

#### **Manual counts**

Where the count is to be conducted manually, ballot papers are sorted to first preferences and informal, and counted to give first-preference results. At least two first preference counts will take place, the first once all phase one preliminary processing has been completed. The second once all phase two processing has been completed after the close of the extended postal vote receipt period.

Once all ballot papers to be included in the count have been counted to first preference and informal, the Election Manager will establish if any candidate has received an absolute majority of first preference votes. Where no candidate receives an absolute majority of first preference votes, a preference distribution will take place.

The Election Manager will appoint a team of experienced staff for each preference count. The number of teams required will depend on the number of preference counts to be conducted. A trained election official will be appointed as team leader for each counting team.

It is anticipated that all manual preference distributions for preferential counts will be completed as soon as practicable following the close of the postal vote receipt period.

#### **Computer counts**

Where the count is to be conducted by computer, extracted ballot papers are sorted to remove those that are obviously informal. Ballot papers identified as obviously informal are totalled and fully rechecked at the count centre. The remaining ballot papers are batched into totals of 50s in preparation for data entry. Once batching has been completed, ballot paper batches will then be sealed and secured for transport to the computer count venue (if away from the election office). It is anticipated that data entry of phase one ballot papers will commence during the week following election day with final data entry to occur after all phase two processing has been completed after the close of the extended postal vote receipt period.

The VEC will establish the computer infrastructure required for the conduct of the computer counts at each of the identified computer count venues. Some venues may have the space and facilities to conduct simultaneous counts across multiple rooms.

The number of data entry operators required for each computer count venue will be determined after nominations close. Data entry operators will be supervised by trained computer count team leaders and a number of trained computer count supervisors for each count team at each venue.

The timetable for data entry of ballot papers for each election will be determined after the close of nominations - when the number of candidates and uncontested elections is known.

It is anticipated that data entry will commence for some computer counts from Monday 26 October, but it is anticipated that larger counts will not commence data entry until Tuesday 27 October at the earliest. It is expected that all computer counts will be completed by Sunday 1 November, at the latest. Candidates and councils will be advised on the proposed counting timetable after the close of nominations as commencement dates and times may shift once social distancing requirements have been built into the count schedules

Team leaders will establish an area within each computer count venue to allow the Election Manager to recheck all informal ballot papers.

Ballot papers initially deemed to be informal, or those identified as informal during data entry that – are later confirmed as formal – will be returned to data entry.

Results will be calculated for each council at the completion of data entry for all wards in that council. Distribution reports that detail the count will be distributed as soon as possible after the completion of each result calculation. Results will be displayed on the VEC website as soon as possible after the completion of all counts for a particular council.

### Recounts

At any time before a candidate has been declared elected, the Election Manager may open any sealed parcel containing ballot papers and recount the ballot papers:

- if they think fit
- at the written request of a candidate specifying reason.

In making a decision to conduct a recount, the Election Manager will consider:

- the occurrence of close margins at critical points during the distribution of preferences
- any procedural or system error or failure that may have interrupted or interfered with the counting process
- the accessibility of the counting process for scrutineers to properly perform their duties.

The VEC head office will support the Election Manager to ensure that all relevant checks and balances have taken place prior to the commencement of any recount.

The Election Manager's interpretation of what constitutes a close margin is informed by the VEC's historical experience at previous recounts. This has shown that limited variation occurs when comparing the final or critical recounted margin to the original count. In particular, the greatest variation in recounts conducted by the VEC since 2008, where counting has been performed by computer data entry, has been seven.

## **Declaration of the result**

It is expected that VEC Election Managers will complete all declarations at least by Friday 13 November. This is later than in 2016 and is a direct consequence of social distancing and staff health and welfare requirements associated with COVID-19 and State Health Directives.

Count plans will initially be established when council structures and ward names are finalised. A more accurate estimate will be provided after the close of nominations when the dimensions of each count are known. The VEC advises Election Managers to allow a minimum of two hours between the completion of a manual count and the conduct of the declaration. For computerised PR counts, a minimum of two hours should be allowed between the completion of the count and the declaration for small counts, and a minimum of four hours for larger counts. This allows reasonable time for candidates to consider distribution reports and decide if they wish to request a recount. Election Managers will advise candidates at the completion of the count if the proposed declaration time needs to be extended to allow for this requirement. Once the declaration takes place, the only avenue to request a recount is by application to the Victorian Civil and Administrative Tribunal (VCAT).

## **Management of complaints**

Complaint management is an important component of conducting an election. Many complainants consider that proving a breach of the Act will lead to an election result being overturned by a Court process.

Complaints generally fall into two categories:

If the complaint relates to:

- the administration of the election the Electoral Commissioner will respond on behalf of the Election Manager
- 2. a possible breach of the Act investigation and response to these complaints is the responsibility of the Local Government Inspectorate. The VEC will refer the complaint directly to the Inspectorate for a response and copy the complainant of this action.

The VEC will provide specific information regarding the management of complaints in the candidate handbook. This will include the different responsibilities of the Election Manager and the Local Government Inspectorate.

A high proportion of the complaints received during local government elections allege the distribution of misleading or unauthorised electoral material. A number of these are escalated by complainants. Previous decisions by the (Municipal Electoral Tribunal) MET have been dismissed as the courts have been specifically concerned with material likely to mislead or deceive voters in relation to the casting of the vote. This is a very narrow definition in comparison to what many candidates generally consider to be misleading.

The VEC will include further information in its candidate handbook and candidate information sessions, to help candidates better understand what is considered to be misleading under the Act.

Based on previous experience, the VEC expects up to 500 complaints to be received for the 2020 elections. It would be expected that of 500 complaints, about 100 will relate to alleged offences against the Act and in the main relate to authorisation of election material, and material that is considered to be misleading.

# Election report and storage of material

The VEC will provide council with a report on the conduct of the elections within six months of election day as required by legislation.

After the declaration of election(s), Election Managers will package all ballot papers together with all other materials used at the election in sealed security boxes. The boxes will be clearly labelled to indicate contents, the ward and the date of the election and will be returned to the VEC warehouse for secure storage.

Where computer counts have been conducted, this will include an encrypted USB of the ballot paper data to be kept secure until such time as it may be required for the conduct of a countback.

## **Management of VCAT inquiries**

Section 311 of the Act allows a candidate, 10 persons entitled to vote at the election, or the VEC to dispute the validity of a local council election through an application to the VCAT within 14 days of the declaration of result for the election.

Following the 2016 local government elections, seven applications were lodged with the Municipal Electoral Tribunal (The Local Government Act 2020 has removed the MET). Additionally, there were two applications for review by the VCAT and one with the Supreme Court.

The cost of the VEC's involvement in these inquiries was shared between the VEC and the particular council as a contingency cost under the electoral service agreement. Comprehensive reporting during the VCAT inquiry and in relation to the recovery of cost was provided to each council.

In developing its cost recovery model for VCAT inquiries, the VEC notes that not all cases impugn the VEC or the Election Manager. Nevertheless, the VEC is named as a party to respond to an application. Where the VEC is not directly impugned, the VEC may request to be removed as the respondent and/or be invited by the VCAT to remain as an interested party to assist the proceeding. However, in all inquiries following the 2016 local government elections, the MET required the VEC to continue to be a party to the application, even when the VEC was not directly associated with the claims made by the applicant.

The VEC will continue to recover costs associated with responding to a VCAT inquiry on a shared basis with the council involved. The VEC will not recover the cost if it is found by the VCAT to be at fault and may absorb a portion of the costs associated with responding to a VCAT inquiry where there is an opportunity for building electoral knowledge.

In circumstances where the VEC is involved in a VCAT hearing, the VEC will generally be represented by the Victorian Government Solicitor's Office (VGSO). The VEC may engage outside counsel through the VGSO, or in addition to or instead of working with the VGSO.

#### Insurances

The VEC maintains sufficient insurance coverage relevant to all of its activities, including its conduct of local government elections.

Where a re-election is required as a result of an error or action by the VEC or Election Manager, the VEC will meet the cost of the new election. In all other re-election circumstances, the VEC will liaise with its insurers and/or the relevant council in relation to the cost of the new election.

# 6. Compulsory voting enforcement

The Act requires the VEC to carry out compulsory voting enforcement for all councils at local government elections. The Act also allows the prosecution of non-voters who do not satisfactorily respond during the enforcement process.

The VEC is equipped with the systems that allow it to manage non-voter follow through to, and including, prosecution. For the 2020 local government council elections in Victoria (except Melbourne City Council), it is only compulsory for voters on the EC list for an election to vote at that election.

## List of non-voters

The requirements for the list of non-voters is prescribed in regulations. The list of non-voters will exclude any non-voters who are automatically exempt from compulsory voting.

## **Enforcement process**

The VEC is obliged to enforce the compulsory voting provisions of the Act at the 2020 local government elections. The VEC's enforcement program will involve three stages. During each stage of the process, the VEC will update each council and provide statistical information regarding the number of apparent failure to vote notices issued, apparent non-voters who have replied, paid the fine, been excused, not been excused or who have not replied to the notice, and the number of infringements referred to Fines Victoria by the VEC.

The VEC will commence its compulsory voting enforcement program in early January 2021. The first step in the process is a requirement of the Act and the remaining steps are relevant to provisions of the *Infringements Act 2006*. The process is as follows:

## **Apparent Failure-to-vote Notice**

The VEC will prepare and send an apparent failure-to-vote notice to all voters who appear to have failed to vote and are not automatically

exempt. The VEC anticipates being in a position to dispatch these notices in early February 2021.

### **Infringement Notice**

The VEC will prepare and send an infringement notice to any apparent non-voter whose excuse is not deemed sufficient or who failed to reply to the apparent failure-to-vote notice. A penalty applies to this notice and will be collected on behalf of council.

During the processing of responses non-voters may provide detailed evidence for not voting, or they may seek a review of the infringement. If the VEC determines that the evidence provided is sufficient the infringement will be withdrawn. This would also be the case where a request for an internal review results in the withdrawal of the infringement. A 'Withdrawal of Infringement' letter must be mailed to each of these non-voters. This will be an additional cost to councils.

During the infringement stage non-voters may elect to have their matter heard directly in the Magistrates' Court.

### **Penalty Reminder Notice**

The VEC will prepare and send a Penalty Reminder Notice to those non-voters who have not paid the infringement penalty. The original penalty plus a penalty reminder notice fee applies to this notice and will be collected on behalf of council.

'Withdrawal of Infringement' letters must be mailed to each non-voter in this category where an internal review results in the withdrawal of the infringement. This will be an additional cost to councils.

During the penalty reminder stage non-voters may elect to have their matter heard directly in the Magistrates' Court.

#### Lodgement with the courts

At the end of the Infringement Notice period, the VEC will commence proceedings against any nonvoters who respond to the notice and requested for the matter to be heard in the Magistrates' Court. The VEC repeat this process at the conclusion of the Penalty Reminder Notice period. Costs will be incurred to take such action.

#### 6. Compulsory voting enforcement

These costs will be passed on to council. Prior to lodging these matters with the Magistrates' Court, the VEC will take every step to ensure that only genuine requests to go to court proceed to court.

The VEC will also collate any outstanding infringements at the conclusion of non-voter follow-up for each council into a final court file and lodge the file with Fines Victoria for prosecution within the legislated deadlines.

Prosecution of non-voters at the Magistrates' Court and through Fines Victoria involve significant time and resources. Matters referred to the Court and Fines Victoria can be expected to continue into subsequent financial years (i.e. beyond 2020-21).

### **Receipt of penalties**

The VEC will receive and record all payments made in relation to compulsory voting enforcement on behalf of council. Council will be provided with the penalties received from voters at an agreed time.

Councils will continue to receive penalties collected by the VEC or through Fines Victoria on a quarterly basis once all necessary reconciliations have been completed.

# 7. Costs

The VEC is conscious of providing a cost-efficient election service to the local government sector and will work to minimise costs wherever possible.

Since 2016, inflationary pressures have increased some of the VEC's costs and this is taken into account as the VEC begins to prepare cost estimates for the 2020 local government elections. Significant unit price cost increases have occurred with:

- communication and advertising costs, particularly in relation to major daily newspapers and the shift to magazine layout for some local publications
- Australia Post postage rates
- rates of pay for senior election officials, and election staff, which are linked to rates in the Victorian Public Service enterprise agreement
- market rent for election office
- paper for the production of ballot material
- transport and logistics in relation to the movement and delivery of equipment and ballot material, particularly courier costs and freight
- travel costs, particularly in relation to the cost of fuel (reflected in the per kilometre mileage allowance rate).

The VEC will continue to apply a marginal cost recovery model where all direct costs are recovered. These costs include personnel and associated on-costs, stationery and material, equipment, mail processes, advertising and communications, printing, rent and utilities, insurances, IT infrastructure, and licences that are costed to the election. The VEC's cost recovery model only includes those head office/administrative costs associated with contractors that are specifically employed for the local government election program. The VEC does not recover salaries for core staff working on local government elections and overheads, such as head office and VEC warehouse accommodation.

Some marginal costs are also not recovered because the VEC would be incurring the cost regardless of local government elections (i.e. depreciation of VEC equipment and materials).

## **Contingency costs**

There are a number of contingency costs that will also be included in the VEC's costing program on a fee-for-service arrangement. In some cases, it is not possible to estimate the cost and provision for contingency services, which need to be factored into the electoral service agreement.

Examples of the contingency costs include responding to a VCAT inquiry (as discussed earlier in this election service plan) and the prosecution of non-voters through the Victorian court system including the Infringements and Magistrates' Courts where applicable. There are also a number of other unanticipated costs that may arise during the election timeline where a council requires a variation to the established parameters for the election (i.e. access to suitable election office, extraction and counting accommodation)

In all cases, the VEC's Client Liaison Team will discuss contingency costs with the contact officers at councils affected.

# **Appendix 1:**

## Summary of initiatives and key changes

The following table summarises initiatives and key changes to the election services offered by the VEC at previous elections.

#### 1. Ballot paper security

At the 2018 Victorian State election, the VEC implemented a number of further measures for security and management of a ballot paper throughout its lifecycle. The VEC is committed to implementing these measures across its entire election program, including local government elections.

#### 2. Online enrolment for State electors

Under a joint roll arrangement, the State and the Commonwealth share responsibility for maintaining enrolment in Victoria. Both the VEC and the Australian Electoral Commission (AEC) have programs in place to assist people to enrol and update their enrolment details. Additionally, enrolment applications processed by the AEC are provided to the VEC at least weekly to help update the Victorian register of electors.

At the 2016 local government elections the VEC offered an online enrolment facility to allow State electors to enrol to vote and update their enrolment details through the VEC website. The VEC discontinued its online enrolment function in August 2017. The current links on the VEC's website to update an elector's details or enrol redirect to the Australian Electoral Commission website.

#### 3. VoterAlert

For the 2020 local government elections, the VEC proposes to roll out a similar service to that provided at the 2018 State election and subsequent local government by-elections where State-enrolled voters will be reminded to check their enrolment details prior to the close of rolls, and then sent two voting reminders during the voting period. VoterAlert gives voters the ability to select whether they receive an SMS, an email or both SMS and email.

#### 4. Computer count venues

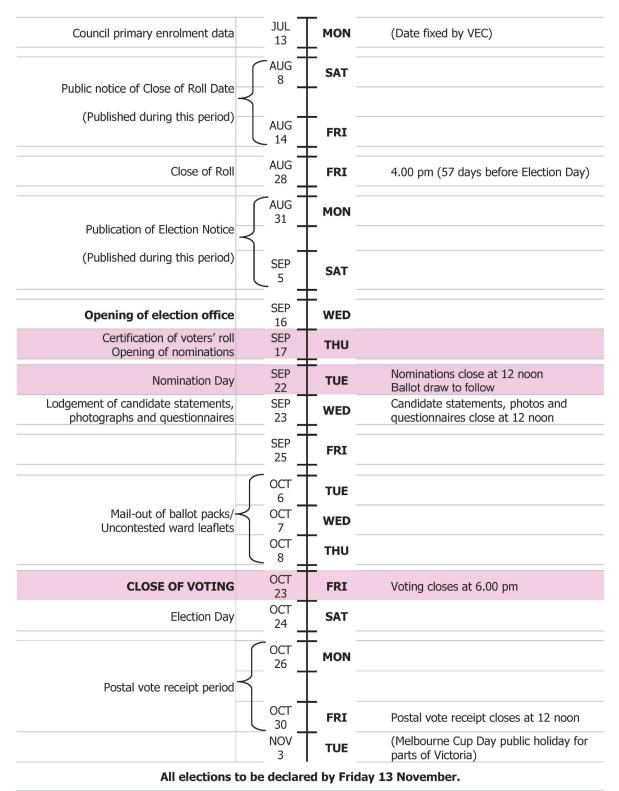
The VEC will further refine its centralised computer count model as venues continue to become increasingly hard to source for local government elections.

Where a local computer count venue is unavailable and no affordable alternatives can be identified, the VEC will prepare a comprehensive contingency plan to establish centralised or local computer count venues. Centralised venues will be able to process data entry for a number of local council elections without compromising the timeline for getting a result.

The VEC will look to provide computer count services within election offices for some councils therefore limiting ballot paper movement.

# **Appendix 2:**

# **Election timeline**



AFL Grand Final public holiday - TBD

# **Appendix 3:**

# Key dates for voters' roll production in 2020

Green shaded items are council actions.

Note that the VEC expects date of birth on at least 90% of council CEO list records.

Tas	k	Key Dates
	Any council software changes in place	Monday 3 February 2020
	Preliminary EC lists to councils (except councils with boundary changes)	Late February 2020
	Preliminary CEO list provided to VEC (except councils with boundary changes)	Tuesday 3 March – Friday 1 May 2020
	Ward boundary changes implemented for councils with boundary changes. Followed by EC list to council and CEO list to VEC	Friday 24 April 2020
	Preliminary feedback for council action	April – June 2020
	Council uses feedback to correct database* (remove deceased and duplicate records, correct invalid data)	Before Monday 13 July 2020
	Council mails renewal forms to all applicants on 2016 roll (Section 240(11) of the Local Government Act 2020)	Before Monday 13 July 2020
	Date for primary council enrolment data (CEO list) to VEC $^{\star}$	By 5pm, Monday 13 July 2020
	Close of the roll	Friday 28 August (4pm) 2020
	NOTE; Changes to the roll from the date for primary enrolment data August) must be applied before certification. These will be calculate extracts (primary enrolment and certification) provided by councils	d by the VEC from two full roll
	Certification CEO list provided to VEC. CEO list as at 4pm Friday 28 August.	By 5pm Monday 31 August 2020
	Roll certification and nominations open	Thursday 17 September 2020
	Election day	Saturday 24 October 2020
	*It is expected that council CEO list data at the primary enrolment of extent that no more than 10% of council records will need to be remo	•

# **Appendix 4:**

## Victoria's municipalities and electoral structures

The estimate of voters for each council is based on anticipated voters as at January 2018.

The VEC completed an electoral representation review of this local council. The VECs recommended structure was accepted and the recommended number of councillors and electoral structure (shown) will take effect at the 2020 local government elections.

The electoral structure of this local council was determined by the Minister for Local Government in accordance with the provisions of the Local Government Act 2020.

The VEC completed an electoral representation review of this local council; the number of councillors and electoral structure (shown) will remain unchanged for the 2020 local government elections.

Loc	al Council	Area (km2)	Estimate of voters	Number of Councillors	Electoral structure
	Alpine	4,787	11,929	7	Unsubdivided
	Ararat	4,230	9,311	7	Unsubdivided
	Ballarat	740	84,783	9	3 x three-councillor wards
	Banyule	63	99,158	9	9 x single-councillor wards
	Bass Coast	864	46,280	9	3 x three-councillor wards
	Baw Baw	4,031	42,184	9	3 x three-councillor wards
	Bayside	36	78,937	7	7 x single-councillor wards
	Benalla	2,375	12,131	7	Unsubdivided
	Boroondara	60	133,357	11	11 x single-councillor wards
	Brimbank	123	135,931	11	3 x three-councillor wards 1 x two-councillor ward
	Buloke	8,004	5,807	7	1 x three-councillor ward 2 x two-councillor wards
•	Campaspe	4,519	31,345	9	2 x three-councillor wards 3 x single-councillor wards
	Cardinia	1,281	82,303	9	9 x single-councillor wards
	Casey <sup>1</sup>	407	223,917	11	5 x two-councillor wards 1 x single-councillor ward
	Central Goldfields	1,534	11,446	7	1 x four-councillor ward 3 x single-councillor wards
	Colac Otway	3,433	20,750	7	Unsubdivided
	Corangamite	4,407	13,584	7	1 x three-councillor ward 4 x single-councillor wards

<sup>1</sup> Casey City Council's general election has been postponed to October 2024

al Council	Area (km2)	Estimate of voters	Number of Councillors	Electoral structure
Darebin	54	117,261	9	9 x single-councillor wards
East Gippsland	20,931	43,123	9	Unsubdivided
Frankston	131	109,662	9	3 x three-councillor wards
Gannawarra	3,732	9,044	7	1 x three-councillor ward 1 x two-councillor ward 2 x single-councillor wards
Glen Eira	38	106,440	9	3 x three-councillor wards
Glenelg	6,212	17,570	7	Unsubdivided
Golden Plains	2,704	18,567	7	Unsubdivided
Greater Bendigo	3,048	91,665	9	3 x three-councillor wards
Greater Dandenong	130	101,846	11	11 x single-councillor wards
Greater Geelong	1,247	200,616	11	3 x three-councillor wards 1 x two-councillor ward
<b>Greater Shepparton</b>	2,422	46,359	9	Unsubdivided
Hepburn	1,470	15,693	7	2 x two-councillor wards 3 x single-councillor wards
Hindmarsh	7,527	4,913	6	3 x two-councillor wards
Hobsons Bay	65	69,683	7	1 x three-councillor ward 2 x two-councillor wards
Horsham	4,249	16,454	7	Unsubdivided
Hume	503	144,959	11	2 x four-councillor wards 1 x three-councillor ward
Indigo	2,044	13,725	7	Unsubdivided
Kingston	91	122,333	11	11 x single-councillor wards
Кпох	114	118,678	9	9 x single-councillor wards
Latrobe	1,426	58,849	9	1 x four-councillor ward 2 x two-councillor wards 1 x single-councillor ward
Loddon	6,694	7,651	5	5 x single-councillor wards
Macedon Ranges	1,747	38,413	9	3 x three-councillor wards
Manningham	114	89,624	9	9 x single-councillor wards
Mansfield	3,843	10,971	5	Unsubdivided
Maribyrnong	31	65,202	7	1 x three-councillor ward 2 x two-councillor wards
Maroondah	61	87,817	9	9 x single-councillor wards
Melbourne	36	119,595	11	Lord Mayor and Deputy Lord Mayor directly elected as a leadership team by the vote

Loc	al Council	Area (km2)	Estimate of voters	Number of Councillors	Electoral structure
					at-large Unsubdivided
	Melton	527	99,690	9	1 x four-councillor ward 1 x three-councillor ward 1 x two-councillor ward
	Mildura	22,330	41,305	9	Unsubdivided
	Mitchell	2,864	35,965	9	3 x three-councillor wards
	Moira	4,045	25,086	9	Unsubdivided
	Monash	81	123,695	11	3 x three-councillor wards 1 x two-councillor ward
	Moonee Valley	կկ	94,682	9	3 x three-councillor wards
	Moorabool	2,110	27,856	7	1 x four-councillor ward 3 x single-councillor wards
	Moreland	51	132,790	11	2 x four-councillor wards 1 x three-councillor ward
	Mornington Peninsula	723	161,497	11	2 x three-councillor wards 1 x two-councillor ward 3 x single-councillor wards
	Mount Alexander	1,529	16,826	7	1 x three-councillor ward 4 x single-councillor wards
	Moyne	5,478	14,424	7	Unsubdivided
	Murrindindi	3,889	14,685	7	7 x single-councillor wards
	Nillumbik	435	48,969	7	7 x single-councillor wards
	Northern Grampians	5,918	11,055	7	1 x three-councillor ward 1 x two-councillor ward 2 x single-councillor wards
	Port Phillip	20	93,106	9	3 x three-councillor wards
	Pyrenees	3,433	7,497	5	5 x single-councillor wards
	Borough of Queenscliffe	11	4,400	5	Unsubdivided
	South Gippsland <sup>2</sup>	3,305	29,505	9	3 x three-councillor wards
	Southern Grampians	6,652	13,436	7	Unsubdivided
	Stonnington	25	93,754	9	3 x three-councillor wards
	Strathbogie	3,302	10,182	7	2 x two-councillor wards 3 x single-councillor wards
	Surf Coast	1,560	34,547	9	1 x four-councillor ward 2 x two-councillor wards 1 x single-councillor ward

 $<sup>^{\</sup>rm 2}$  South Gippsland Shire Council general election has been postponed to October 2021.

Loc	al Council	Area (km2)	Estimate of voters	Number of Councillors	Electoral structure
	Swan Hill	6,117	14,915	7	Unsubdivided
	Towong	6,673	5,525	5	Unsubdivided
	Wangaratta	3,639	23,168	7	1 x four-councillor ward 3 x single-councillor wards
	Warrnambool	120	27,497	7	Unsubdivided
	Wellington	10,989	43,171	9	3 x three-councillor wards
	West Wimmera	9,107	3,824	5	Unsubdivided
	Whitehorse	64	117,705	11	11 x single-councillor wards
	Whittlesea <sup>3</sup>	489	154,183	11	2 x four-councillor wards 1 x three-councillor ward
	Wodonga	433	32,230	7	Unsubdivided
	Wyndham	541	151,786	11	2 x four-councillor wards 1 x three-councillor ward
	Yarra	20	81,669	9	3 x three-councillor wards
	Yarra Ranges	2,447	118,812	9	9 single-councillor wards
	Yarriambiack	7,158	6,024	7	1 x three-councillor ward 2 x two-councillor wards

 $<sup>^{\</sup>rm 3}$  Whittlesea City Council's general election has been postponed to October 2024

# **Appendix 5:**

# Volumes in 2016

The following table provides the volume of voters, votes and resources required or produced for the 2016 local government elections based on the 78 Councils that went to election in that year noting that for 2020 all elections will be conducted by post, therefore some items listed below will no longer be applicable.

	Postal	City of Melbourne	Attendance	Total
Elections	71	1	6	78
Total voters				4,428,810
Total EC voters	3,222,889	54,791	523,275	3,800,955
Total CEO voters	46,0826	79,010	88,019	627,855
Number of elections	195	2	25	222
Number of vacancies	570	10	56	636
Uncontested elections	33	-	5	38
Candidates	1,870	50	205	2,125
Online completion of nom form*				1,590
Candidate statements	525	27	N/A	552
Online completion of candidate statement	1,328	29	N/A	1,357
Candidate questionnaires	1,077	30	94	1,201
Online completion of candidate questionnaire	676	20	65	761
EasyVote letters (attendance only)	N/A	N/A	551,329	551,329
Uncontested leaflets	84,410	-	78,323	162,733
Fully uncontested Councils – newspaper notices	2	N/A	N/A	2
HTVCs registered (attendance only)	N/A	N/A	438	438
Voting centres (attendance only)	N/A	N/A	122	122
Early voting centres (attendance only)	N/A	N/A	13	13
Number of postal votes (attendance only)	N/A	N/A	49,785	49,785

Number of early votes (attendance only)	N/A	N/A	84,652	84,652
Ballot packs (standard)	3,683,908	134,337	N/A	3,818,245
Ballot packs (Braille)	26	3	8	37
Ballot packs (large print)	96	2	10	108
PR counts	139	1	13	153
Preferential counts (computer)		1		1
Preferential counts (manual)	55		13	68
Apparent failure to vote notices*				598,913
Complaints	434	22	59	489
Stand-alone election offices	71	1	6	78
Phone enquiries – election office	6,8965	3,534	17,665	90,164
Phone enquiries – overflow service	16,636	1,083	5,073	22,792
Recounts	1			1

\*Split across these categories is not available

# Appendix 6:

# Format for the supply of CEO voters list

Data you provide to the VEC must be clearly labelled and accompanied by a Council Data Information sheet, so that the VEC has the information needed to read and process the council data. If your system produces these details automatically, there is no need to transcribe the information, just include the output with your data file. Please supply the record layout for the data if different to the standard format.

Please do not send unidentified files as the resultant delays could jeopardise Municipal Voters Roll production.

The format on the next page is a guide to the required data. The VEC can cope with different data formats and would rather do this than lose any data. Standard formats have been established for each software supplier, to which councils are expected to adhere.

- The most important principle in extracting the CEO list is that no information is lost in the export process. Provide the fields as you store them in preference to truncating or concatenating fields to fit the following format. The VEC would rather deal with a different format than with missing data.
- Please do NOT open an extracted file in Excel or Access before sending it to us; both are liable to corrupt data in quite complex ways which can be very difficult to detect. Make a copy of the file if you want to do any checking but send us the untouched original file.
- No data should be truncated.
- A delimited file is preferred, using the pipe symbol | or TAB rather than comma (commas can appear in data fields). Records may also be fixed-length and fixed-format.
- Variations to this format may be negotiated, as long as all the necessary fields are provided in full.
- Separate ward files are not required; it is preferable that only one file is provided with the ward code on each record.
- A voter should not appear more than once, however many properties they own.
- All data provided to the VEC should be uploaded to DEx, the VEC's secure data server. This secure data server conforms to current security standards. The server is optimised for large data sets and will eliminate delays caused by email security filters and reduce the risk of a privacy breach. If you have any questions about DEx, please contact the VEC's Enrolment Capability Team.

# FIELDS REQUIRED (mandatory fields are marked \*, others may be blank)

Field	Comments
Municipality ID *	Mandatory 3-character text field e.g. 522 allocated by the VEC.
Ward ID *	Mandatory 2-character text field e.g. 03.
Person ID *	From Council system. To facilitate feedback to council and allow efficient processing of "Update Files"
Surname *	e.g. van der Walt
Given Names *	e.g. Paul Andrew William
Gender	M or F or leave blank
Birth Date	Date format DD/MM/YYYY Councils should have DOB on at least 90% of records
Property ID *	From Council system. To facilitate feedback to council and allow efficient processing of "Update Files"
Capital Improved Value *	Numeric – whole \$ value (no commas). DO NOT include comma separators. 0 if not rated. To allow decisions on duplicates.
Property Name	Where a property requires more than the street address to identify it, for example a caravan park, hospital name or a country property. E.g. Kadina Caravan Park, Bellbird Hospital, Killara, Westfield Retirement Village
Address Number	Unit 4 or Flat 18 or RMB 7654 etc
Street Number	237A
Street Name	Oxford or address string if separate fields are not available Unit 4/237A Oxford St
Street Type	Street
Place Name *	Mandatory – no parish names
Post Code	TEXT field, not numeric
Contact Address Line1	Used for mailing ballot papers and voter cards
Contact Address Line2	as well as for duplicate matching
Contact Address Line3	Where 3 addresses are stored, Residential Address is more useful
Contact Address Line4	in these fields than Contact Address
Contact Address Line5	
Nominated property	Y/N Flag - indicates owner wants voting entitlement based on this property
Silent *	Y/N Flag - indicates council has accepted request for address not to be shown on roll. Addresses must still be provided for matching purposes.
VICMAP identifier	Use Property_PFI from Land Victoria's Physical Data Model - Vicmap Property (Standard) or leave blank if not available
Voter Status Code *	Indicates legislative basis for this voting entitlement (single character – see below)
Change type *	L for CEO List.

A more detailed explanation of these fields appears on the following two pages.

Municipality ID	The ID allocated by the Victorian Electoral Commission for this municipality/local council.
Ward ID	The ID allocated to the ward in which this voter is entitled to vote. (The Municipality ID and Ward ID together comprise the 5 digit 'LGA Id', which uniquely identifies the ward within the state.)
Person ID	From Council system. To facilitate feedback to council, duplicate identification and efficient processing of "Update" files.
Surname Given Names	Surname and Given Names should be provided separately, so names can be appropriately presented for mailouts and on the scannable roll.
Gender	M or F or blank if unknown. Do not use U for unknown.
Birth Date	Date format DD/MM/YYYY Leave blank if not available. Council should have date of birth on at least 80% of their records.

The following address fields make up the ENTITLEMENT ADDRESS, the basis on which the voter is included on the Roll.

Property ID	From Council system. To facilitate feedback to council, duplicate identification and efficient processing of "Update" files.		
Capital Improved Value	Numeric – whole \$ value only. DO NOT include comma separators. 0 if not rated. Facilitates decisions on duplicates.		
Property Name	Where a property requires more than the street address to identify it, for example a caravan park, hospital name or a country property.		
Address Number	Unit 4 or Flat 18 or RMB 7654 etc		
Street Number	237 or address string, if separate fields are not available		
Street Name	Oxford e.g. Unit 4/237 Oxford St or RMB 1419 Western Highway		
Street Type	Street Include flat/lot/RMB details		
Place Name	The name of the City/Suburb/Town/Locality. These should be gazetted place names; parish names are NOT acceptable.		
Post Code	The Postcode of the Entitlement Address. Postcodes should always be treated as text.		

The next set of address lines relate to the contact address. This must be different from the entitlement address or the record should be omitted as a resident owner (except applicants).

If you store the contact address as one free format field, please supply it in the same way regardless of size, do not split it into fields and do not truncate it.

This is the address used for mailing voter cards, information brochures and ballot papers. If your system stores Residential Address as well as Contact Address, extract the Residential Address for preference as this will give better matching in the duplicate identification process and is generally more satisfactory for mailing ballot papers. Real estate agent addresses are highly undesirable as ballot papers should not fall into the wrong hands.

Contact Address Line1	
Contact Address Line2	
Contact Address Line3	
Contact Address Line4	
Contact Address Line5	Postcodes should always be treated as text to prevent errors such as Northern Territory postcodes appearing as 827 instead of 0827
Nominated Property	Y/N Flag - Y indicates that this multiple property owner wants their voting entitlement based on this property rather than others they own.
Silent	Y/N Flag indicates council has accepted request for address not to be shown on roll. Addresses must still be provided for matching purposes.
VICMAP identifier	Use Property_PFI from Land Victoria's Physical Data Model - Vicmap Property (Standard) or leave blank if not available. This field will assist with Representation Reviews in the future.
Voter Status Code	This field should contain the code used by the council to indicate the voter's category of entitlement, i.e. Non-resident owner, company appointee etc. A list of the council codes used, and their descriptions must be provided on the Council Data Information Sheet, otherwise we have to guess which of the following codes your voters belong to.
	Council codes will be standardised by conversion to one of the following to be displayed on the printed roll:
	<ul> <li>N - Non-Resident Owner - automatic entitlement</li> <li>A - Resident Owner (not on EC list) application</li> <li>P - Occupier ratepayer application</li> <li>O - Corporation (owner) application (does not expire)</li> <li>T - Corporation (occupier) application</li> </ul>
Change Type	L for CEO list.

The Council Data Information Sheet on the following page should be provided every time you send data to the Victorian Electoral Commission. Record counts and Voter Status Codes enable us to verify that we have correctly read the data. Ward numbers and names are needed to check the mapping we use.

# 2020 Council Data Information Sheet

Please upload all data to dex.vec.vic.gov.au and email this form to CEOList@vec.vic.gov.au

Name of the municipality	
Contact	

#### Software Used

What software is used to run the database that this data was extracted from? Please supply the record layout for the data if different to the standard format.

.....

#### Ward Names

We need to check that we are using the correct ward names for each ward identifier in your file.

Ward ID	Ward name

#### Voter Count

Number of voters in file	
--------------------------	--

#### Voter Status Code Information

This is the code you use to identify the different legislative categories of entitlement to be on your Roll

Standardised Voter Status Code		Your Council Voter Status Code (if different)
N	Non Resident Owner - automatic entitlement	
Α	Resident Owner (not on EC list) application	
Р	Occupier ratepayer application	
0	Corporation (owner) application	
т	Corporation (occupier) application	

# Appendix 7:

# **Community languages**

The VEC currently provides translated information and operates dedicated interpreter telephone lines in the community languages listed below (plus one for 'all other languages'). This is to ensure that the VEC meets the community language requirements of councils that select the multi-language voting instructions leaflet for inclusion in the ballot pack.

Language	Telephone	Language description
Amharic	9209 0190	- African language
Arabic	9209 0100	
Bosnian	9209 0191	
Chinese	9209 0101	- Cantonese
Chinese	9209 0106	- Mandarin
Croatian	9209 0102	
Dari	9209 0193	
Dinka	9209 0119	
Greek	9209 0103	
Italian	9209 0104	
Khmer	9209 0192	
Korean	9209 0194	
Macedonian	9209 0105	
Persian	9209 0195	
Russian	9209 0196	
Serbian	9209 0107	
Somali	9209 0108	- African language
Spanish	9209 0109	
Turkish	9209 0110	
Vietnamese	9209 0111	
All other non-English languages	9209 0112	

# **Appendix 8:**

# **Communication plan**

Communication and education services and products provided to council.

## **Advertising and publicity**

### Statutory advertising campaign

- Development of advertisements for all election phases —, notice of election, voting details and declaration of results
- Placement and monitoring of all advertisements created.

### Statewide advertising campaign

- Development of statewide advertising campaign
- Placement and monitoring of statewide advertising campaign in English and multilanguage media
- VoterAlert
- Voting Reminder advertisement creation and placement

## Publicity

- Media releases developed to accompany each phase of the advertising campaign
- Handling all media enquiries regarding election activities
- Proofing of council's election-related information for newsletters, websites (created by council)

## **Public enquiries**

- Handling all public enquiries
- Provision of an overflow call centre
- Provision of translated election material and interpreter service
- Responding to all email enquiries

• Website information

## Accessibility

- Availability of Braille/large print ballot papers
- Mailout to Vision Australia/Blind Citizens Australia database re availability of above
- Provision of National Relay Service for hearing impaired electors
- Free ReadSpeaker software to read webpages via the VEC website
- Translated information published to the VEC website

### Education

- Schools and community groups
- Enrolment sessions in homelessness agencies, prisons and Aboriginal community services.
- Distribution of tailored community sector election information kits
- Email blasts to community sector groups particularly for traditionally underrepresented groups in the electoral process i.e. disability, homeless, young people, CALD, Aboriginal
- Special voting services within homelessness agencies

## Products

- Uncontested leaflet
- Multi-language voting instruction leaflet (for metro councils postal, optional)
- Reference information and election office contact details for council staff
- Easy English Guide to Council Elections publication no cost to council

# **Appendix 9:**

## **Community engagement and education**

#### Community engagement and education program

#### **Education and information sessions**

Electoral education sessions provided to target groups traditionally under-represented in the electoral process. Participants will be encouraged to enrol and vote. The focus of education sessions will be the importance of voting in the local government elections, with an emphasis on how to vote correctly. Particular emphasis will be placed on areas with higher unintentional informality and low voter turnout.

### **Enrolment and voting outreach**

Special enrolment and voting support sessions will be conducted in homelessness agencies, prisons and within Aboriginal community services.

#### Information kit

Tailored Community Sector Information Kits to be distributed to community-based organisations who work with groups traditionally under-represented in the electoral process. The kit will contain resources and services tailored to particular communities to support their enrolment and voting in the local government elections. The kit will include an Easy-English version.

#### **Passport to Democracy resources**

As part of the Passport to Democracy program, resources will be produced that aim to increase students understanding of the local government, in particular how students can take action on local community issues. Election specific activities such as special information sessions and special voting services in homelessness agencies, an email newsletter for the community sector and briefing sessions will be offered to Statewide networks relating to target audiences.

#### **Youth Engagement Pilot**

A pilot youth engagement project to increase awareness of the value and importance of voting in local government elections in areas with higher proportion of young people. Project to utilise a codesign process so young people are engaged with piloting new strategies and solutions to the engagement of young people in local government elections.

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